

Customer No.	026418	
Attorney's Docket No.:	GK-BUE-102 / 500647.20003	
U.S. Application No.:	09 / 856833	
International Application No.:	PCT/CH99/00515	
International Filing Date:	NOVEMBER 03, 1999	03 NOVEMBER 1999
Priority Date Claimed:	NOVEMBER 26, 1998	26 NOVEMBER 1998
Title of Invention:	METHOD AND DEVICE FOR PROCESSING A THERMOPLASTIC CONDENSATION POLYMER	
Applicant(s) for (DO/EO/US):	Franz GOEDICKE and Federico INNEREBNER	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of the **Publication No. WO 00/32377 published 8JUN00 International Application as filed** [35 U.S.C. 371(c)(2)]
  - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
  - b) ☐ has been transmitted by the international Bureau
  - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of the **Publication No. WO 00/32377 published 8JUN00 International Application** into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
  - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
  - b) ☐ have been transmitted by the International Bureau
  - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d) ☐ have not been made and will not be made
- ☒ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☐ 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **Executed Decl/POA TO FOLLOW**
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. A **FIRST** preliminary amendment  
A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A **substitute specification**
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **PCT/IB/308 dated 8JUN00, PCT/IPEA/416 and 409 dated 19FEB01**

EXPRESS MAIL No.: **EL 915 668 586 US**

Deposited: **May 25, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

*Ruth Montalvo*

/Ruth Montalvo Date: **May 25, 2001**

[X] 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

JC18 Rec'd PCT/PTO 2 5 MAY 2001

- [X] Search Report has been prepared by the EPO or JPO..... \$ 860.00
- [ ] International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 690.00
- [ ] No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 710.00
- [ ] Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,000.00
- [ ] International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$860.00

Claims

Number

Number

Rate

Total Claims

Filed

-20

Extra

x \$ 18. =

\$216.00

Indep. Claims

3

-03

12

x \$ 80. =

[X] Multiple Dependent Claim(s) (if applicable) + \$ 270. =

\$270.00

TOTAL OF ABOVE CALCULATIONS:

\$1,346.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

SUBTOTAL:

\$1,346.00

Processing fee of \$130.00 for furnishing the English Translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE:

\$1,346.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

TOTAL FEE(S):

\$1,346.00

AMOUNTS TO BE  
REFUNDED OR CHARGED

REFUNDED  
CHARGED \$

[X] Check in the amount of \$ 1,346.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald h. Kiel, Esq.

(Customer No. 026418)

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375 Park Avenue

New York, NY 10152

Gerald H. Kiel

Name (Tel. (212) 521-5400)

Signature

25.116

Reg. No.

May 25, 2001

Date